
Compatible Taper and Volume Models for Atlantic White Cedar (*Chamaecyparis thyoides* L.) in Eastern North Carolina



A Thesis for the Degree
of Master of Science

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Presentation Outline

- Taper, and Volume Modeling
- Measurement sites and data collection methods
- Examination of existing taper model forms
- Development and quality determination of new taper and volume model forms
- Examination of regional effect on AWC taper curvature



Compatible Taper and Volume Models

“If tree profile can be accurately described, then volume for any merchantability limit or segment can be computed.”

– Avery & Burkhart, *Forest Measurements*, 2002

- Variability of stem shape between species requires unique models to be developed
- Model forms developed for one species can be used to model another species with some possible bias and error
- No models specifically developed for AWC currently exist



Taper Modeling

Pre-computers –

Segmented/Switching: combination of three geometric shapes:
neiloid, frustum of a paraboloid, and cone

Post-computers:

Variable or Polynomial/Trigonometric: continuous curve typically a function of diameter at breast height (D), total height (H), and height (h) at which diameter (d) is predicted

Variable – $d(D,H,h) = f(D,H,h) g(D,H,h)$

Polynomial – $d(D,H,h) = f(D,H,h) + g(D,H,h)$



Volume Modeling

Sectional Volumes

- Divide a stem in sections of known length (height)
- Requires diameters to be known for every section

$$\text{Volume}_{\text{Smallian's}} = \frac{(A_1 + A_2)}{2} L$$

Simple Linear Regression Models

- Single, Multiple, and combined variable model forms
- One volume estimate per stem

Single Entry –

$$V = \beta_0 + \beta_1 D^2$$

Combined Variable – Spurr (1952)

$$V = \beta_0 + \beta_1 D^2 H$$



Compatible Models

- Taper model form allows integration
- Volume model found by integrating cross sectional area
- Allows volume to be estimated to any height or diameter

Compatible Taper Model

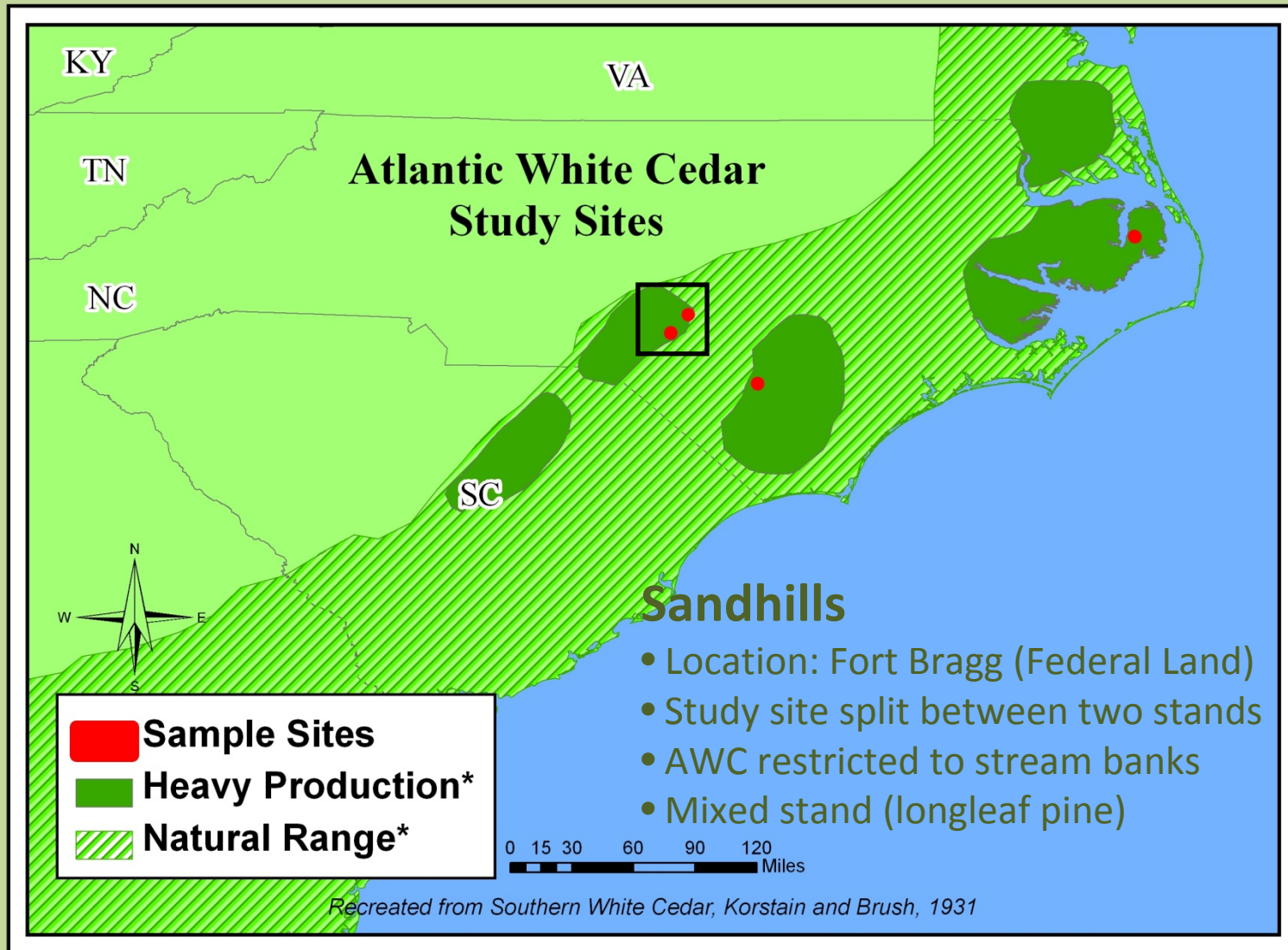
$$d(D, H, h) = f(D, H, h) + g(D, H, h)$$

Compatible Volume Model

$$V = \int \frac{\pi [d(D, H, h)]^2}{4} dh$$



Study Sites



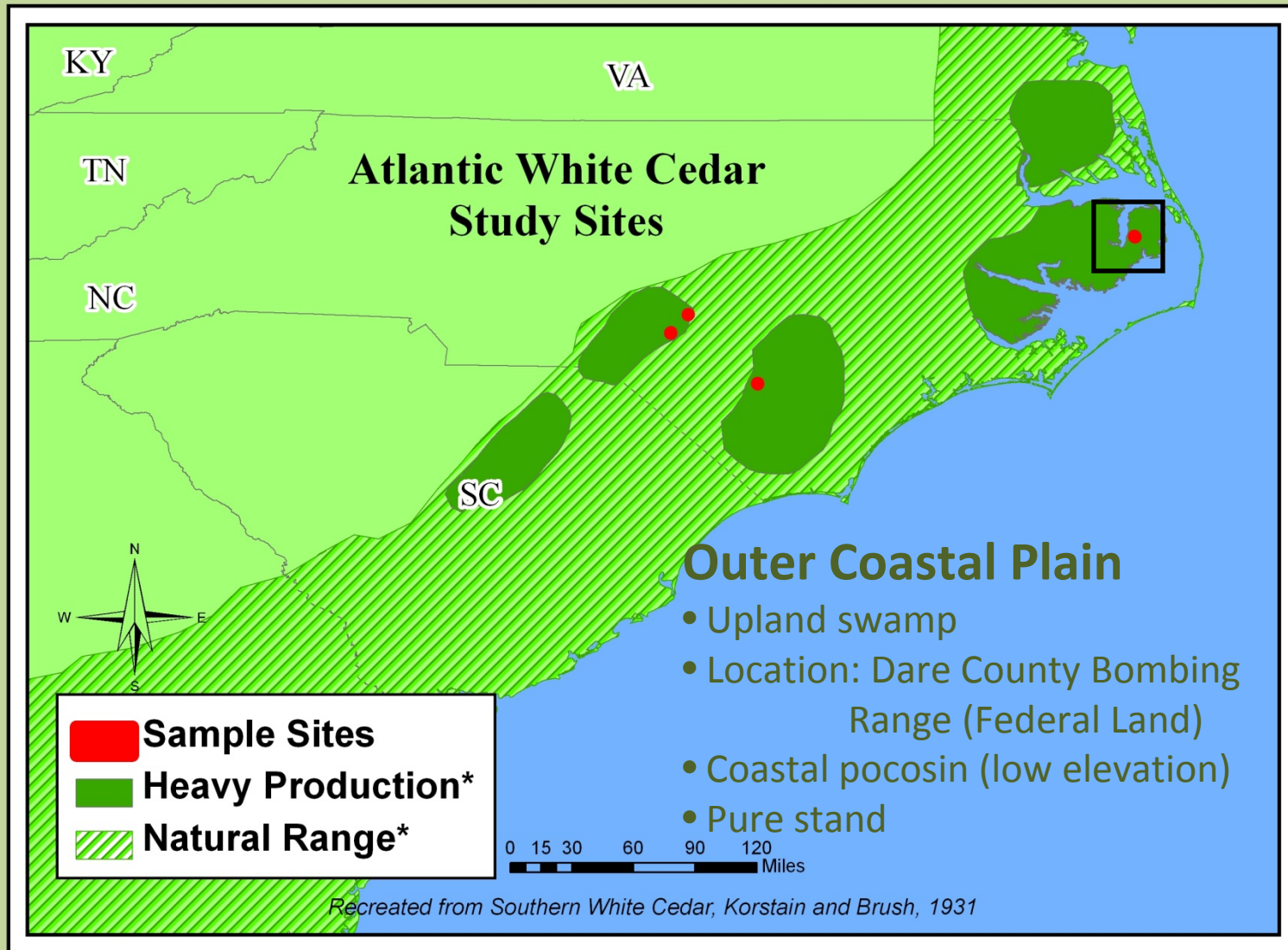
Slide 7

MJC1

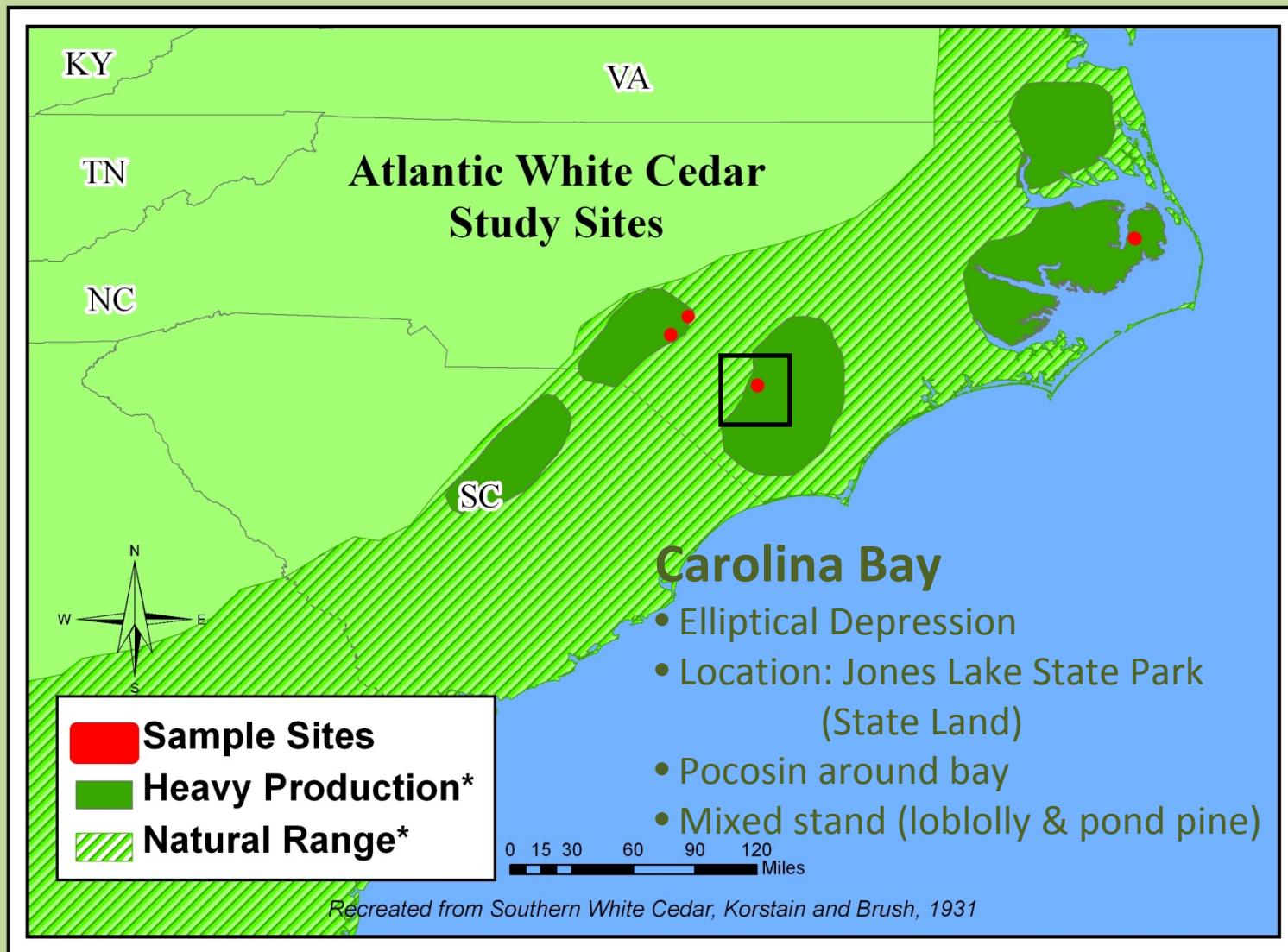
Do I need these slides with the maps? Could I condense study sites to a table or remove completely?

Matthew Cuneo, 6/8/2009

Study Sites



Study Sites



Measurement Tree Selection



- Dominant or Co-dominant
- Minimum 50% AWC overstory based on visual estimation
- Good form: No forking, sweeps, leaning, etc.
- Available line of site



Equipment

Diameter Measurements

Heights \leq 6 ft

- Calipers

Heights $>$ 6 ft (~5 ft interval)

Laser Technology Inc.

- Criterion RD 1000
 - Raw Inclination
 - Height / Diameter
 - $\pm 0.25''$ accuracy
- Laser Range Finder
 - Impulse
 - TruPulse



www.lasertech.com



Data Summary Means

Property	Statistic	Carolina Bays	Outer Coastal Plain	Sandhills	All Regions
Stems Sampled	Quantity	70	70	70	210
Measured Diameters	Quantity	1050^a	873^b	884^b	2807
Basal Area per acre(ft ² /ac)	Mean	171	136 ^b	126 ^b	144
	SE	4.06	4.65	4.97	2.95
AWC Basal Area per acre (ft ² /ac)	Mean	104 ^c	105 ^c	62	90
	SE	4.19	5.17	4.35	2.99
Percent AWC	Mean	60.4	77.5	47.3	61.7
	SE	1.67	2.55	2.24	1.52

Means with a common letter are not significantly different at an alpha level of 0.05



Data Summary Means

Property	Statistic	Carolina Bays	Outer Coastal Plain	Sandhills	All Regions
Age at breast height (yrs)	Mean	64^a	55^b	56^b	58
	SE	1.4	1.5	2.5	1.1
Diameter at Breast Height (in)	Mean	13.2^a	10.4^b	11.2^b	11.6
	SE	0.42	0.38	0.44	0.25
Total Height (ft)	Mean	82.5^a	60.1^b	62.2^b	68.2
	SE	1.25	0.69	1.15	0.93
Height to Live Crown (ft)	Mean	54.3	40.2	30.8	41.9
	SE	1.05	0.64	0.98	0.85
Radial Growth (in/10 yrs)	Mean	0.57^a	0.66^b	0.73^b	0.69
	SE	0.03	0.03	0.03	0.02

Means with a common letter are not significantly different at an alpha level of 0.05



Fitting Existing Taper Model Forms

- 18 taper models selected
 - 17 polynomial, 1 polynomial and trigonometric
- Mixed modeling techniques used to fit each model

Model	-2 LL	AIC*	AICC	BIC
Demaerschalk (1972)	-8094	-8090	-8090	-8079
Thomas and Parresol (1991)	-5150	-5132	-5132	-5102
Demaerschalk (1972)*	-3764	-3760	-3760	-3748

* Smaller is a better fit

^R Random Effect

^{NS} Not significant at an alpha level of 0.05



Additional Definitions



Relative Diameter –

$$\frac{\textit{diameter}}{\textit{Diameter at breast height}}$$

Relative Height –

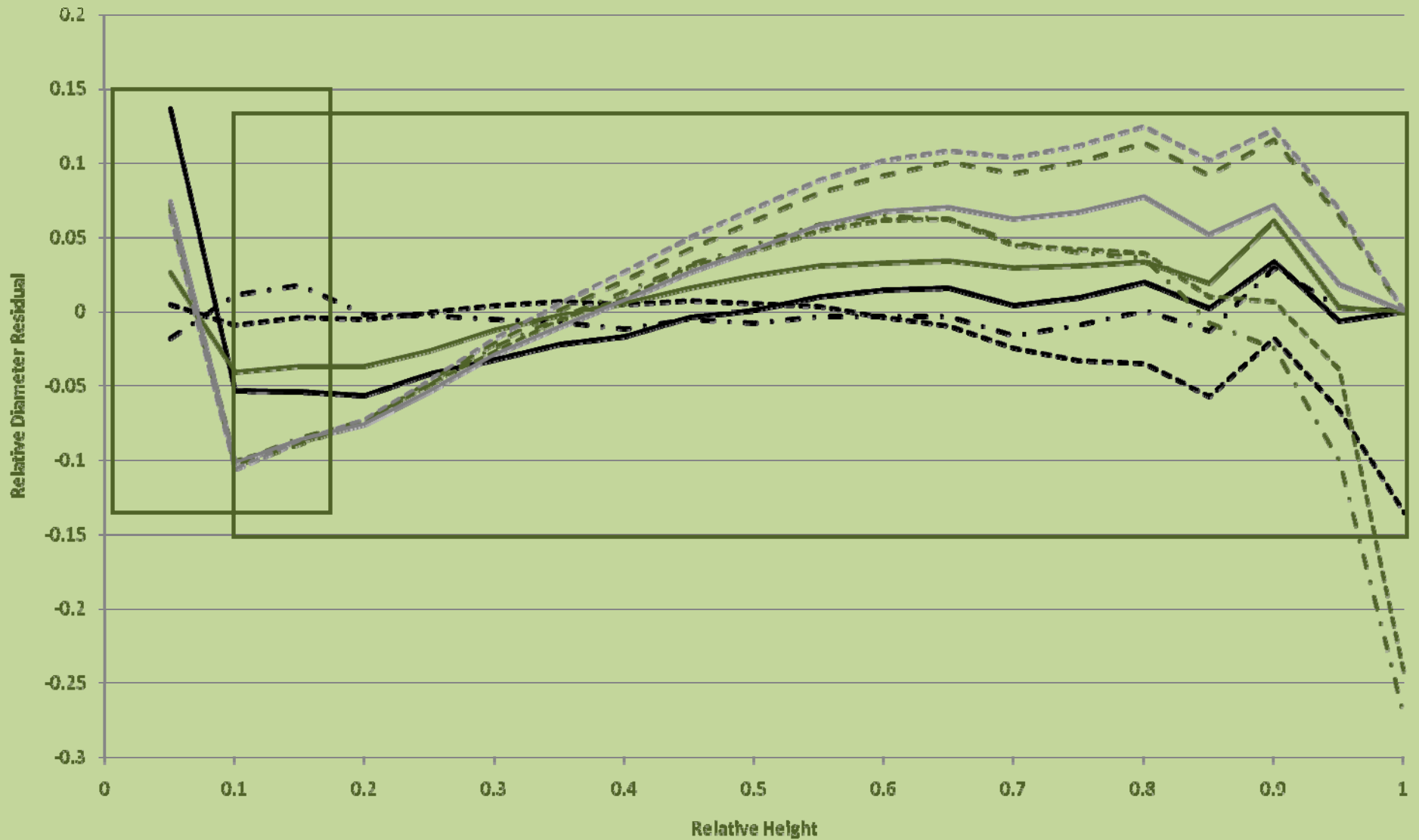
$$\frac{\textit{height}}{\textit{Total height}}$$

Relative Diameter Residual –

$$\textit{Observed}_{\textit{Rel Dia}} - \textit{Predicted}_{\textit{Rel Dia}}$$



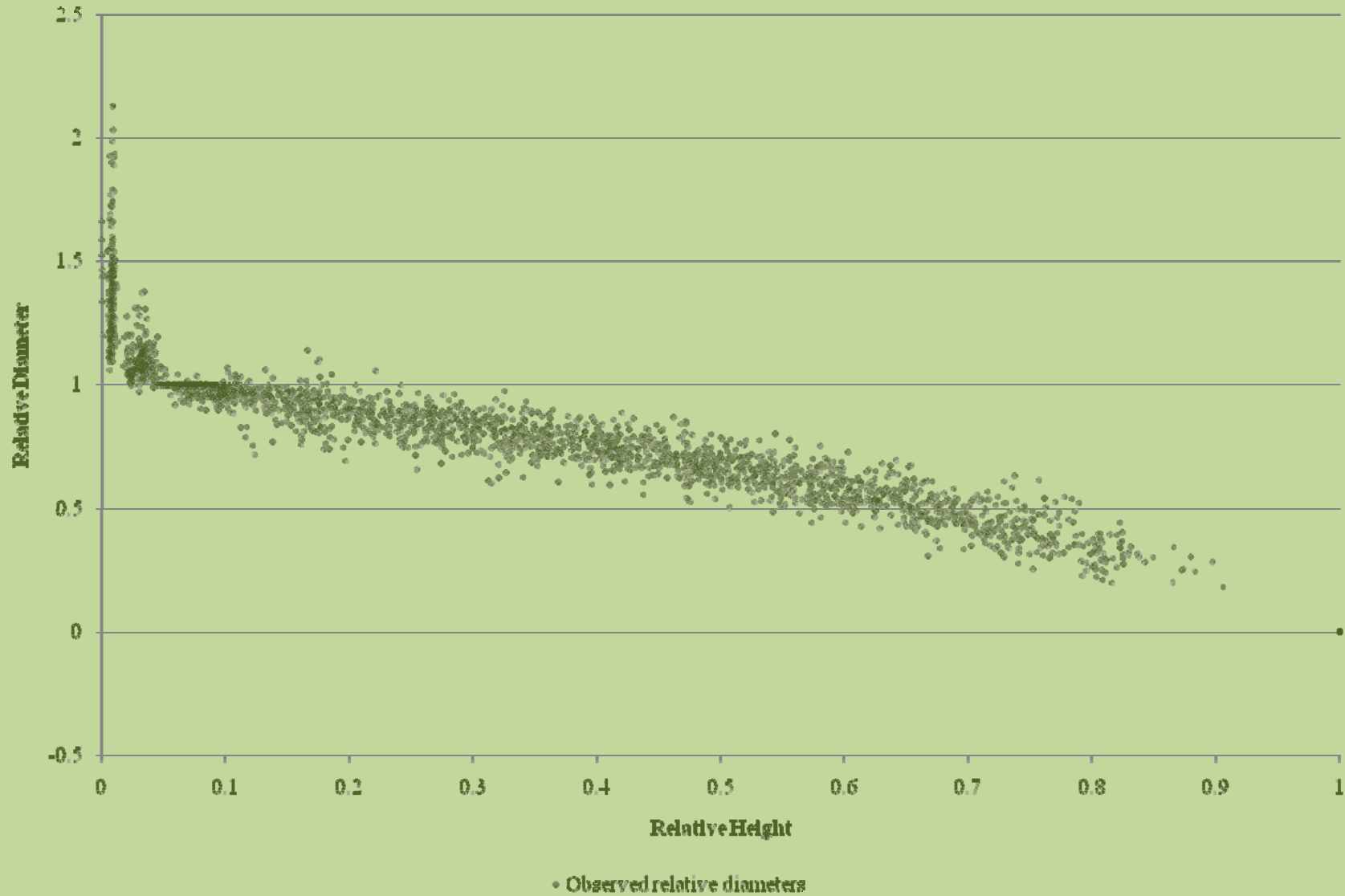
Mean Relative Diameter Residuals



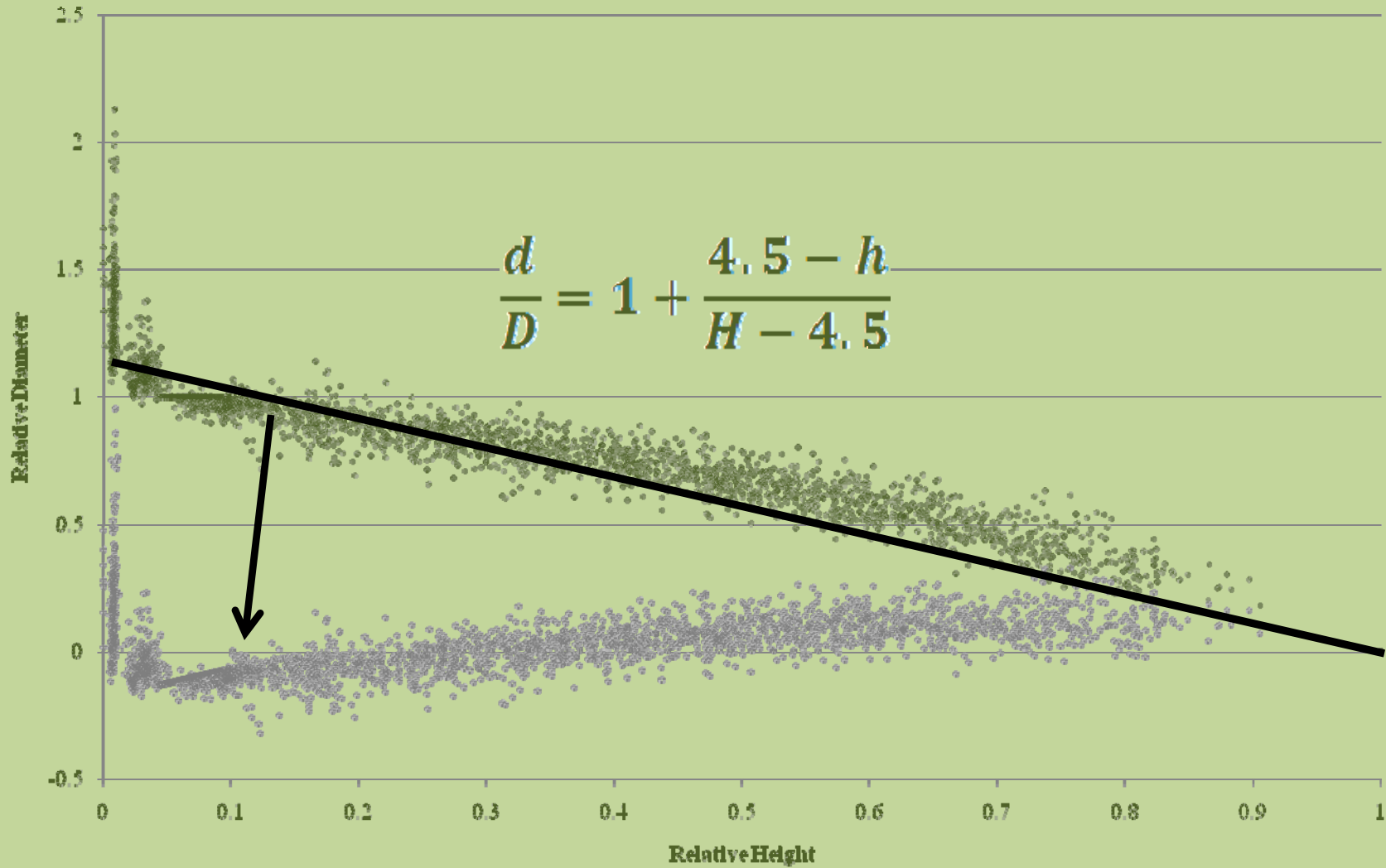
- Demaerschalk (1972)
- Demaerschalk (a) (1973)
- Kozak et al (b) (1969)
- - - Thomas and Parresol (1991)
- - - Goulding and Murray (1976)
- - - Kozak et al (c) (1969)
- - - Demaerschalk (1972)*
- . - Bruce et al. (1968)
- . - Demaerschalk (b) (1973)
- . - Kozak et al. (a) (1969)



Observed Relative Diameters



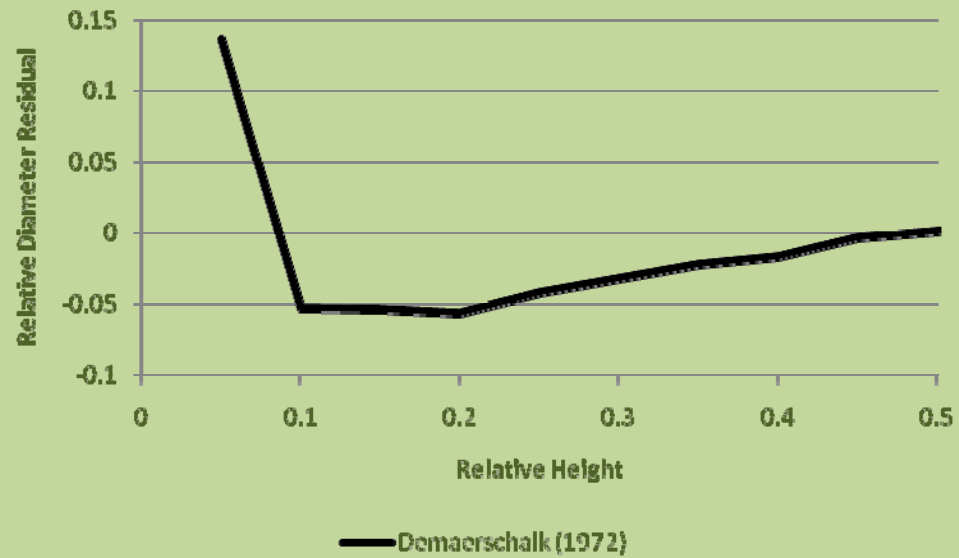
Comparison of Graphs



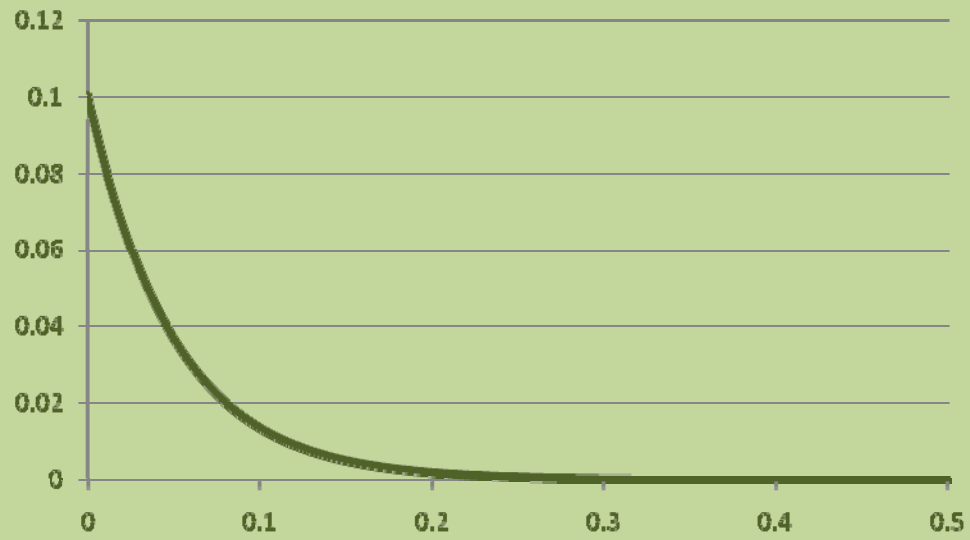
• Observed relative diameters • Kozak et al. (c) (1969) relative diameter residuals



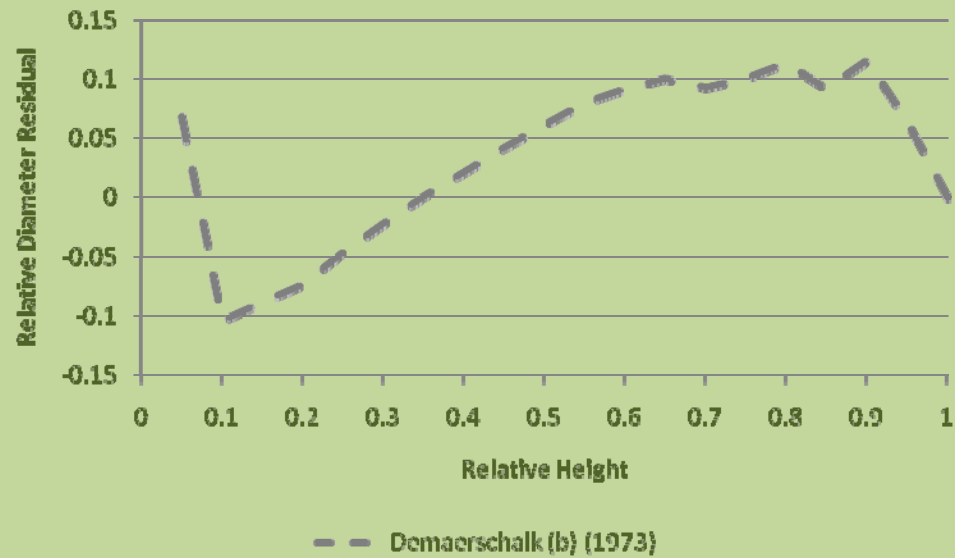
Exponential Decay Curve



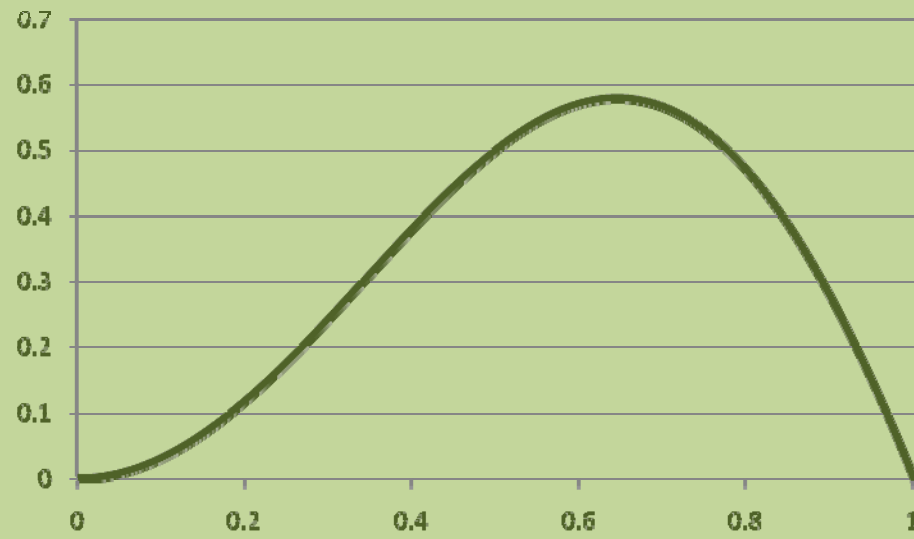
$$E = \frac{E_0}{e^{\lambda x}}$$



Sine Function



$$S = x \sin(\pi x)$$



L.E.S.

Linear Function (L)

$$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right)$$

Exponential Decay Curve (E)

$$E = \frac{\beta_2}{e^{\beta_3 h}}$$

Sine Function (S)

$$S = \beta_4 \frac{h}{H} \sin \left(\pi \frac{h}{H} \right)$$

L.E.S.

$$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right) + \frac{\beta_2}{e^{\beta_3 h}} + \beta_4 \frac{h}{H} \sin \left(\pi \frac{h}{H} \right) + \varepsilon$$



Fitting L.E.S. to AWC data

Components	Model	β_1	β_2	β_3	β_4	-2 LL	AIC	AICC	BIC
L.	$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right)$	1.107	.	.	.	-3930	-3926	-3926	-3914
L.E.	$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right) + \frac{\beta_2}{e^{\beta_3 h}}$	1.07 ^R	0.60 ^R	2.00	.	-5041	-5029	-5029	-5009
L.S.	$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right) + \beta_4 \frac{h}{H} \sin \left(\pi \frac{h}{H} \right)$	1.066	.	.	0.193	-4565	-4561	-4561	-4549
L.E.S.	$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right) + \frac{\beta_2}{e^{\beta_3 h}} + \beta_4 \frac{h}{H} \sin \left(\pi \frac{h}{H} \right)$	0.99 ^R	0.52 ^R	1.06	0.27 ^R	-9002	-8986	-8986	-8959



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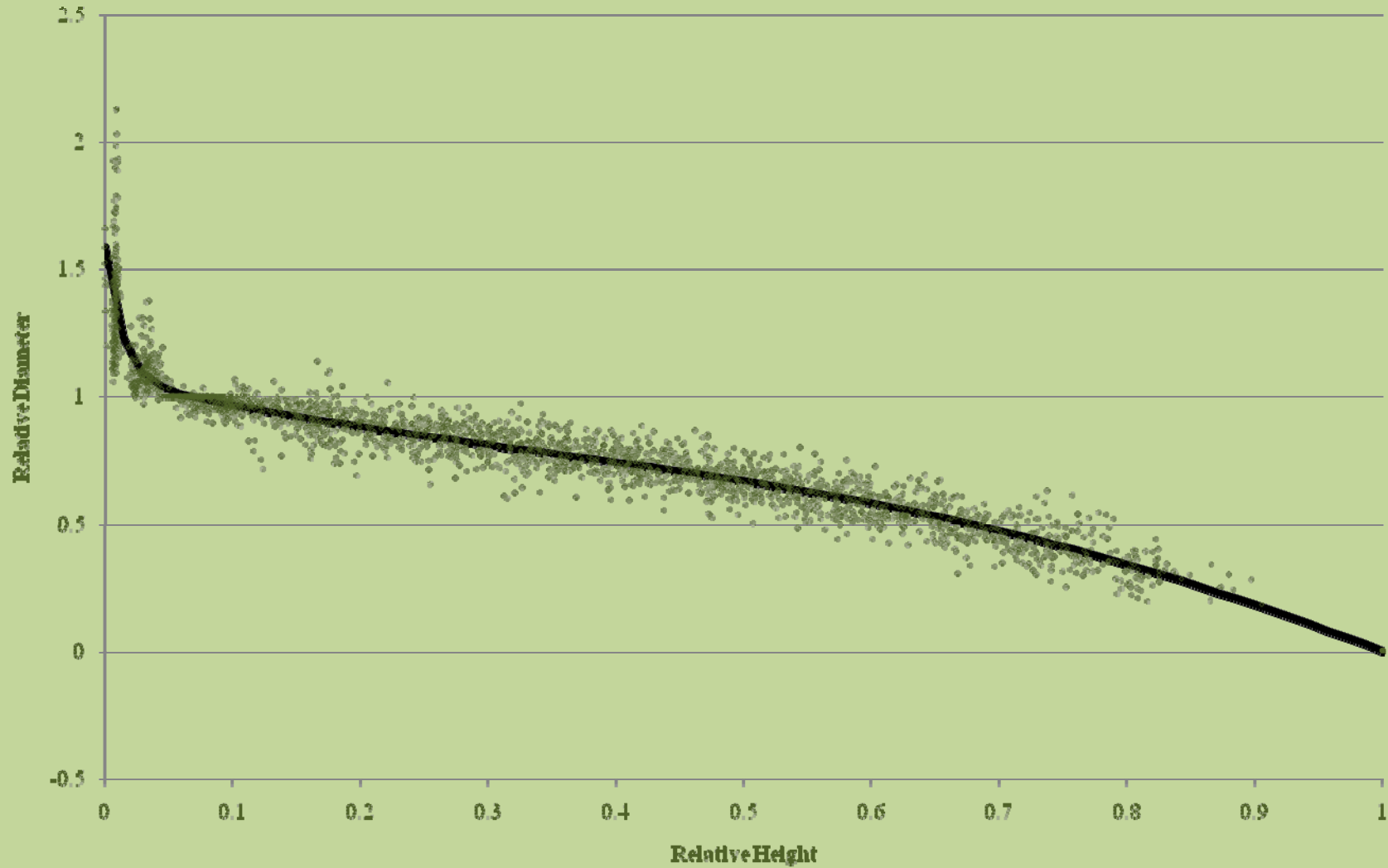


Fitting L.E.S. to AWC data

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L.S.	$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right) + \beta_4 \frac{h}{H} \sin \left(\pi \frac{h}{H} \right)$	1.066							-4549
L.E.S.	$\frac{d}{D} = \beta_1 \left(1 + \frac{4.5 - h}{H - 4.5} \right) + \frac{\beta_2}{e^{\beta_3 h}} + \beta_4 \frac{h}{H} \sin \left(\pi \frac{h}{H} \right)$	0.99 ^R	0.52 ^R	1.06	0.27 ^R	-9002	-8986	-8986	-8959



Observed Relative Diameters



• Observed relative diameters — Linear function, Exponential decay curve, and Sine function



Compatible Volume Model

Assume a circular cross section for all relative heights

$$V = \int A_h dh = \int \frac{\pi d_h^2}{4} dh$$

L.E.S. Volume Model

$$V_{h_2-h_1} = V_{h_2} - V_{h_1}$$

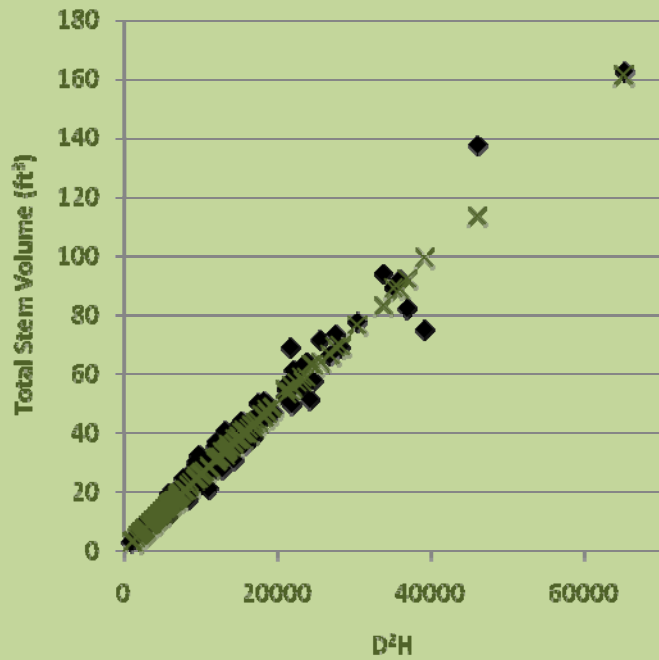
Where:

$$\begin{aligned}
 V_h = \frac{\pi}{576} D^2 \left[\beta_1^2 h + \frac{2\beta_1^2}{H-4.5} \left(4.5h - \frac{h^2}{2} \right) - \frac{2\beta_1\beta_2}{\beta_3 e^{\beta_3 h}} + \left(\frac{2\beta_1\beta_4}{\pi^2} + \frac{9\beta_1\beta_4}{\pi^2(H-4.5)} \right) \left[H \sin\left(\pi \frac{h}{H}\right) - \pi h \cos\left(\pi \frac{h}{H}\right) \right] \right. \\
 + \frac{\beta_1^2}{(H-4.5)^2} \left(20.25h - 4.5h^2 + \frac{h^3}{3} \right) + 2\beta_1 \left[\frac{-\beta_2(4.5-h)}{(H-4.5)\beta_3 e^{\beta_3 h}} + \frac{\beta_2}{(H-4.5)\beta_3^2 e^{\beta_3 h}} \right] \\
 + \frac{2\beta_1\beta_4}{\pi^3(H-4.5)} \left[\cos\left(\pi \frac{h}{H}\right) (\pi^2 h^2 - 2H^2) - 2\pi h H \sin\left(\pi \frac{h}{H}\right) \right] - \frac{\beta_2^2}{2\beta_3 e^{2\beta_3 h}} \\
 + \frac{-2\beta_4\beta_2 e^{-\beta_3 h}}{(\beta_3^2 H^2 + \pi^2)^2} \left[H \sin\left(\pi \frac{h}{H}\right) (\beta_3^2 H^2 h + \pi^2 h \beta_3 - \pi^2 + \beta_3^2 H^2) \right. \\
 \left. + \pi \cos\left(\pi \frac{h}{H}\right) (\beta_3^2 H^2 h + \pi^2 h + 2\beta_3 H^2) \right] \\
 \left. + \frac{\beta_4^2}{24\pi^3 H^2} \left[4\pi^3 h^3 - 6\pi H^2 h \cos\left(2\pi \frac{h}{H}\right) + 3H \sin\left(2\pi \frac{h}{H}\right) (H^2 - 2\pi^2 h^2) \right] \right]
 \end{aligned}$$

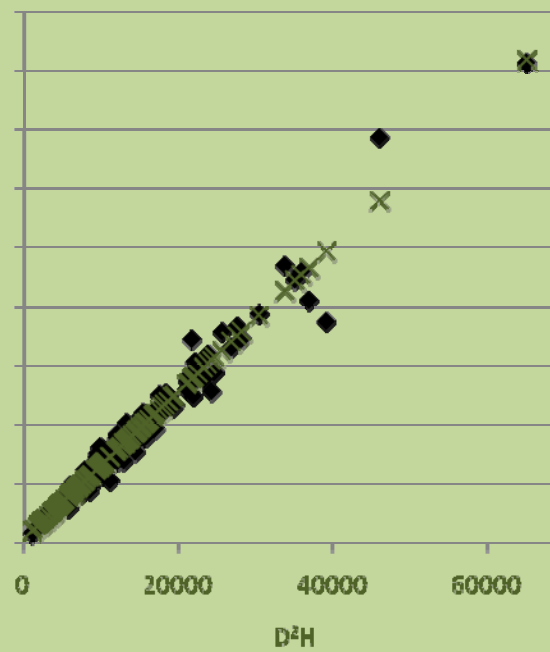


Quality of Volume Model

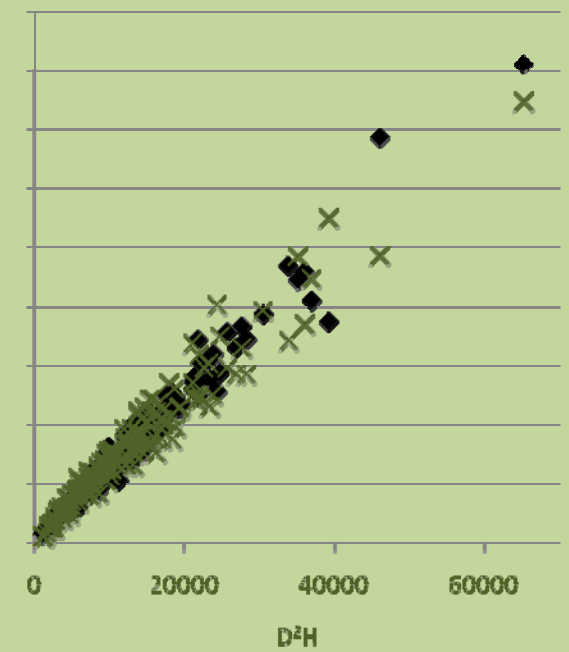
Original Author	Model	β_0	β_1	R^2
L.E.S. Compatible Volume Model	$V = \int \frac{\pi(D * L.E.S.)^2}{4} dh$.	.	0.9701
Spurr (1952)	$V = \beta_0 + \beta_1 D^2 H$	0.935	0.002	0.9740
Single Entry	$V = \beta_0 + \beta_1 D^2$	-3.804	0.215	0.8974



◆ Smalian's formula × L.E.S. compatible volume model



◆ Smalian's Formula × Spurr (1952)



◆ Smalian's Formula × Avery and Burkhart (2002)

Improved Regional Differences Modeling

Fitting each region individually allows:

- Difference taper curvature
- Relative Diameter at Total Height constricted to zero

Region	Model Parameters	-2 LL	AIC	AICC	BIC	β_1	β_2	β_3	β_4
CB	All Regions*	-3334	-3332	-3332	-3330	0.994 ^R	0.523 ^R	1.058	0.275 ^R
	Regional	-3384	-3368	-3368	-3350	1.007 ^R	0.378 ^R	1.222	0.297 ^R
OCP	All Regions*	-2841	-2839	-2839	-2837	0.994 ^R	0.523 ^R	1.058	0.275 ^R
	Regional	-2886	-2870	-2870	-2852	0.992 ^R	0.707 ^R	1.118	0.218 ^R
SH	All Regions*	-2836	-2834	-2834	-2832	0.994 ^R	0.523 ^R	1.058	0.275 ^R
	Regional	-2866	-2850	-2850	-2832	0.982 ^R	0.500 ^R	0.895	0.312 ^R

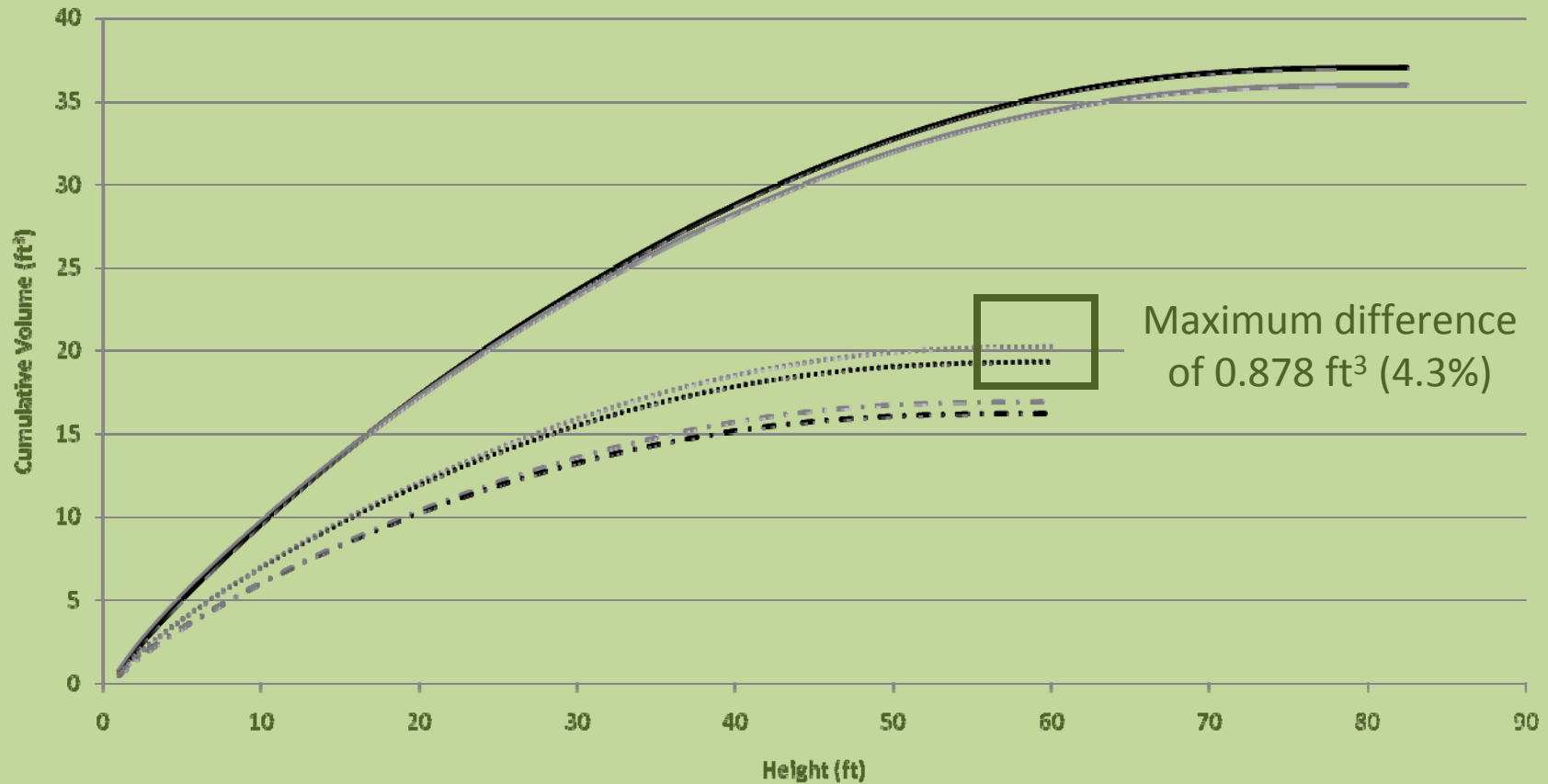
^R Random Effect Term

*Model parameters found when fitting the L.E.S. taper model to the combined regions data set.



Regional Effect on Volume Modeling

Cumulative Mean Height and Diameter Stem Volume



Regional: CB
 Height: 82.5 ft.
 Diameter: 13.2 in.

All Regions: CB
 Height: 82.5 ft.
 Diameter: 13.2 in.

Regional: OCP
 Height: 60.1 ft.
 Diameter: 10.4 in.

All Regions: OCP
 Height: 60.1 ft.
 Diameter: 10.4 in.

Regional: SH
 Height: 62.2 ft.
 Diameter: 11.2 in.

All Regions: SH
 Height: 62.2 ft.
 Diameter: 11.2 in.



Summary of Conclusions

- L.E.S. taper model fits AWC better than any of the examined model forms
- The compatible volume model does not provide any improvement of total stem volume estimation over Spurr (1952)
- The compatible volume model does allow for sectional volume estimates between any two heights or diameters
- The effect of region on AWC taper is statistically significant and appears as differences in taper curvature.
- No practical improvement when using a regional taper model
- New models available for AWC forestland managers to calculate total volume and merchantable volume estimates



Questions?

